**FX Global Code**

**Multi-Dealer Platform Disclosure Cover Sheet**

|  |  |  |
| --- | --- | --- |
| **Platform / Entity Name** | **Business area(s) to which this Cover Sheet applies** | **Most Recent Date Completed** |
|  |  |  |

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Section 1 – Platform Characteristics** | | | | | **Section 2 – Level of Anonymity** | | | | **Section 3 - Disclosures** | | | | | | | | | | | | | | | | |
| **Company** | **Platform Name** | **Product** | **Execution** | **Regulatory Framework** | **Fully Disclosed** | **Counterparty Name Disclosed Post Trade** | **Tag Disclosed Post Trade** | **Fully Anonymous** | **Primary Disclosure Documentation** | **Eligibility Requirements** | **Last Look Policy** | | | | **Tag Management Policies** | | | | | | | | **Data Sharing Policy** | **Code Signatory Identification[[1]](#footnote-1)** | |
| *Firm Pricing Only* | *Last Look Only* | *Both Firm and Last Look Offered* | *Link to Policy* | *Point of Tag Disclosure* | | | | *Tags on Rejected Trades* | | *Tag Colour Policy* | *Re-tagging Policy* | *Signatory Status Identified* | *Link to Policy* |
| *Pre-trade tag* | *Post-trade tag* | *Clarifying information* | *Link to Policy* | *Offered* | *Link to Policy* |
| [Free form] | [Free form] | [Free form] | [Free form] | [Free form] |  |  |  |  | [Link(s)] | [Free form] |  |  |  | [Link] |  |  | [Free form] | [Link] | Choose an item. | [Link] | [Link] | [Link] | [Link] | Choose an item. | [Link] |
| [Free form] | [Free form] | [Free form] | [Free form] | [Free form] |  |  |  |  | [Link(s)] | [Free form] |  |  |  | [Link] |  |  | [Free form] | [Link] | Choose an item. | [Link] | [Link] | [Link] | [Link] | Choose an item. | [Link] |
| [Free form] | [Free form] | [Free form] | [Free form] | [Free form] |  |  |  |  | [Link(s)] | [Free form] |  |  |  | [Link] |  |  | [Free form] | [Link] | Choose an item. | [Link] | [Link] | [Link] | [Link] | Choose an item. | [Link] |
| [Free form] | [Free form] | [Free form] | [Free form] | [Free form] |  |  |  |  | [Link(s)] | [Free form] |  |  |  | [Link] |  |  | [Free form] | [Link] | Choose an item. | [Link] | [Link] | [Link] | [Link] | Choose an item. | [Link] |
| [Free form] | [Free form] | [Free form] | [Free form] | [Free form] |  |  |  |  | [Link(s)] | [Free form] |  |  |  | [Link] |  |  | [Free form] | [Link] | Choose an item. | [Link] | [Link] | [Link] | [Link] | Choose an item. | [Link] |
| [Free form] | [Free form] | [Free form] | [Free form] | [Free form] |  |  |  |  | [Link(s)] | [Free form] |  |  |  | [Link] |  |  | [Free form] | [Link] | Choose an item. | [Link] | [Link] | [Link] | [Link] | Choose an item. | [Link] |
| [Free form] | [Free form] | [Free form] | [Free form] | [Free form] |  |  |  |  | [Link(s)] | [Free form] |  |  |  | [Link] |  |  | [Free form] | [Link] | Choose an item. | [Link] | [Link] | [Link] | [Link] | Choose an item. | [Link] |
| [Free form] | [Free form] | [Free form] | [Free form] | [Free form] |  |  |  |  | [Link(s)] | [Free form] |  |  |  | [Link] |  |  | [Free form] | [Link] | Choose an item. | [Link] | [Link] | [Link] | [Link] | Choose an item. | [Link] |
| [Free form] | [Free form] | [Free form] | [Free form] | [Free form] |  |  |  |  | [Link(s)] | [Free form] |  |  |  | [Link] |  |  | [Free form] | [Link] | Choose an item. | [Link] | [Link] | [Link] | [Link] | Choose an item. | [Link] |
| [Free form] | [Free form] | [Free form] | [Free form] | [Free form] |  |  |  |  | [Link(s)] | [Free form] |  |  |  | [Link] |  |  | [Free form] | [Link] | Choose an item. | [Link] | [Link] | [Link] | [Link] | Choose an item. | [Link] |
| [Free form] | [Free form] | [Free form] | [Free form] | [Free form] |  |  |  |  | [Link(s)] | [Free form] |  |  |  | [Link] |  |  | [Free form] | [Link] | Choose an item. | [Link] | [Link] | [Link] | [Link] | Choose an item. | [Link] |
| [Free form] | [Free form] | [Free form] | [Free form] | [Free form] |  |  |  |  | [Link(s)] | [Free form] |  |  |  | [Link] |  |  | [Free form] | [Link] | Choose an item. | [Link] | [Link] | [Link] | [Link] | Choose an item. | [Link] |
| [Free form] | [Free form] | [Free form] | [Free form] | [Free form] |  |  |  |  | [Link(s)] | [Free form] |  |  |  | [Link] |  |  | [Free form] | [Link] | Choose an item. | [Link] | [Link] | [Link] | [Link] | Choose an item. | [Link] |

1. As noted in Principle 22, the responsibility of conveying accurate and up-to-date Statement of Commitment signatory status to the platform falls entirely on the user, whereas the platform is responsible only for storing and reporting this information as presented by that user and is not making any representation regarding the conduct of the user. Should there be any changes to the Statement of Commitment status of the user, the obligation is on the user to update the platform with that information. [↑](#footnote-ref-1)